

IN THE  
**United States Patent and Trademark Office**

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**Applicant(s):** Emerson P. Jones, et al.

**Docket No.:** 17209-503

**Serial No.:** 10/676,297

**Art Unit:** 3692

**Filed:** September 30, 2003

**Examiner:** Ali, Hatem M

**For:** Method and system for analyzing a capital structure for a company

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Mailstop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT AND RESPONSE**

Further to the Non-Final Office Action dated January 7, 2009 (hereinafter "Office Action"), in view of the following amendments and/or remarks, Applicants request entry thereof and reconsideration of the above-referenced application.

**Amendments to the Claims** are reflected in the listing of claims begin on page 2.

**Remarks** begin on page 5 of this paper.